

07-23-01

PTO/SB/05 (2/98)

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07/20/01  
U.S. PTOUTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No. 4833-001

First Inventor or Application Identifier Dawn T. McAllister

Title METHOD AND SYSTEM OF CREATING AND SENDING  
ELECTRONIC TRACTS

Express Mail Label No. EL659734042US

14  
J1033 U.S. PTO 09/10/2001  
07/20/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Specification [Total Pages 27]  
(preferred arrangement set forth below)
  - Descriptive title to the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3.  Drawing(s) (35 U.S.C. 113) [Total Sheets 7]
4.  Oath or Declaration [Total Pages 8]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
**[Note Box 5 below]**
    - i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting  
inventor(s) named in the prior application,  
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, DC 20231

6.  Microfiche Computer Program (Appendix)
7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy
  - b.  Paper Copy (identical to computer copy)
  - c.  Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

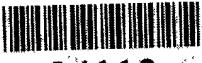
8.  Assignment Papers (cover sheet & document(s))
9.  37 C.F.R. § 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
10.  English Translation Document (if applicable)
11.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations
12.  Preliminary Amendment
13.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14.  \*Small Entity  Statement filed in prior application,  
Statement(s) Status still proper and desired
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Other: Express Mail Certification

**\*NOTE FOR ITEMS 1 & 14: IN ORDER TO BE  
ENTITLED TO PAY SMALL ENTITY FEES, A SMALL  
ENTITY STATEMENT IS REQUIRED (37 C.F.R.  
§ 1.27), EXCEPT IF ONE FILED IN A PRIOR  
APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).**

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary statement:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No: \_\_\_\_\_ / \_\_\_\_\_  
Prior application information: Examiner: Group/Art Unit: \_\_\_\_\_

## 18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label  or  Correspondence address below

(Insert Customer No. or attach bar code label here)

PATENT TRADEMARK OFFICE

NAME			
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE		FAX

Name (Print/Type)	David D. Kalish	Registration No. (Attorney/Agent)	42,706
Signature		Date	July 20, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1

These are the fees effective December 29, 1999.

Small Entity payments must be supported by a small entity statement.  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.**TOTAL AMOUNT OF PAYMENT** (\$453.00)

Complete if Known

Application Number	TBA
Filing Date	TBA
First Named Inventor	Dawn T. McAllister
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	4833-001

**METHOD OF PAYMENT** (check one)1.  The Commission is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

18-1167

Deposit Account Name

Coats &amp; Bennett, P.L.L.C.

Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17  Charge the Issue Fee Set in 37 CFR §1.18 at the Mailing of the Notice of Allowance

2.  Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee
106	310	206	155	Design filing fee
107	480	207	240	Plant filing fee
108	760	208	380	Reissue filing fee
114	150	214	75	Provisional filing fee
<b>SUBTOTAL (1)</b>				(\$355.00)

**2. EXTRA CLAIM FEES**

		Fee from Extra Claims below	Fee Paid
Total Claims	22	-20** = 2 X 9.00 = 18.00	
Independent	5	-3** = 2 X 40.00 = 80.00	
Claims			
Multiple Dependent Claims			

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	260	204 130 Multiple dependent claim
109	78	209 39 **Reissue independent claims over original patent
110	18	210 9 **Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		(\$98.00)

**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	
139	130	139	130 Non-English specification	
147	2,520	147	2,520 For filing a request for reexamination	
112	920*	112	920* Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840* Requesting publication of SIR after Examination action	
115	110	215	55 Extension for reply within first month	
116	380	216	190 Extension for reply within second month	
117	870	217	435 Extension for reply within third month	
118	1,360	218	680 Extension for reply within fourth month	
128	1,850	228	925 Extension for reply within fifth month	
119	300	219	150 Notice of Appeal	
120	300	220	150 Filing a brief in support of an appeal	
121	260	221	130 Request for oral hearing	
138	1,510	138	1,510 Petition to institute a public use proceeding	
140	110	240	55 Petition to revive - unavoidable	
141	1,210	241	605 Petition to revive - unintentional	
142	1,210	242	605 Utility issue fee (or reissue)	
143	430	243	215 Design issue fee	
144	580	244	290 Plant issue fee	
122	130	122	130 Petitions to the Commissioner	
123	50	123	50 Petitions related to provisional applications	
126	240	126	240 Submission of Information Disclosure Stmt.	
581	40	581	40 Recording each patent assignment per property (times number of properties)	
146	760	246	380 Filing a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380 For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$0)

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name				Reg. Number	42,706
Signature				Deposit Account User ID	18-1167

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
McAllister et al. )  
Serial No. \_\_\_\_\_ )  
Filed: \_\_\_\_\_ )  
For: METHOD AND SYSTEM OF CREATING AND )  
SENDING ELECTRONIC TRACTS )  
Attorney's Docket No. 4833-001 )

**Express Mail Certification  
Label No.EL659734042US**

Raleigh, North Carolina

July 20, 2001

Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

Sir:

**EXPRESS MAIL CERTIFICATE LABEL NO. EL659734042US**

**DATE MAILED: July 20, 2001**

I hereby certify that the enclosed Utility Patent Application Transmittal, Fee Transmittal Form (2 copies), specification and claims, drawings (1 set of 7 sheets), Declaration and Power of Attorney, and our Check # 4577 in the amount of \$453.00, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

Respectfully submitted,

**COATS & BENNETT, P.L.L.C.**

By:



\_\_\_\_\_  
David D. Kalish  
Registration No. 42,706

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